

031804

13281  
U.S. PTO  
03180423856 U.S. PTO  
031804

031804

## UTILITY PATENT

## APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

042252

Total Pages

First Named Inventor or Application Identifier

Makoto FUJISHIMA, Masahiro KOMATSU, Akio KARYU, Kingo  
MAEDA, Yuichi NAKAZAWA and Shizuo NISHIKAWA

Check Box, if applicable [ ] Duplicate

Express Mail Label No.

## APPLICATION ELEMENTS FOR:

MAINTENANCE SYSTEM FOR MACHINE TOOL

## ADDRESS TO:

Commissioner for Patents  
MAIL STOP PATENT APPLICATION  
P. O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [72]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [10]
4. ☒ Oath or Declaration Total Pages [3]
  - a. ☒ Newly executed (original)
  - b. ☐ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet and document(s)) to: MORI SEIKI CO., LTD., Yamatokoriyama-shi, Japan  
Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

042252

First Named Inventor or Application Identifier

**Makoto FUJISHIMA, Masahiro KOMATSU, Akio KARYU, Kingo  
MAEDA, Yuichi NAKAZAWA and Shizuo NISHIKAWA**

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (PTO-1449 & 3 Ref.)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority [1 Certified copy of Priority Document is enclosed.
- Priority of Japanese application No. 2003-085192 filed on March 26, 2003 is claimed under 35 USC 119.
16. ☐ Other
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	.. Basic Fee
The filing fee is calculated below				\$770.00
Total Claims	10 - 20		x \$18.00	
Independent Claims	3- 3		x \$86.00	
Multiple Dependent Claims			\$290.00	\$290.00
Total Basic Filing Fee				\$1060.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	40.00
TOTAL				\$1100.00

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	042252
	First Named Inventor or Application Identifier	
	<b>Makoto FUJISHIMA, Masahiro KOMATSU, Akio KARYU, Kingo MAEDA, Yuichi NAKAZAWA and Shizuo NISHIKAWA</b>	
PAGE 3 OF 3		

[XX] A check in the amount of \$1100.00 is enclosed to cover the filing fee. \$770.00 for basic filing fee; \$290.00 for multiple dependent claims and the assignment recordation fee of \$40.00.


[ ] Please charge our Deposit Account No. **50-2866** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account no. 50-2866. A duplicate of this sheet is attached.

**18. CORRESPONDENCE ADDRESS:**

**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**

**Customer No. 38834**

SUBMITTED BY	
Typed or Printed Name Ken-Ichi Hattori	Reg. No. 32,861
Signature 	Date: March 18, 2004

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP  
 1250 Connecticut Avenue, N.W., Suite 700  
 Washington, D.C. 20036  
 Tel: (202) 822-1100  
 Fax: (202) 822-1111